Verasco: Formal verification of a C static analyzer based on abstract interpretation

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Workshop on Realistic Program Verification, 2015-12-02

Informationes (mathematiques

Plan



- 2 The abstract interpretation approach
- 3 Scaling up: the Verasco project
- 4 Technical zoom: the abstract interpreter and its proof
- 5 Conclusions and perspectives

Static analysis in a nutshell

Statically infer properties of a program that hold for all its executions. At this program point, $0 < x \le y$ and pointer p is not NULL.

Emphasis on infer: no help from the programmer. (E.g. loop invariants are not written in the source.)

Emphasis on statically:

- The inputs to the program are not known.
- The analysis must terminate.
- The analysis must run in reasonable time and space.

Example of properties that can be inferred

Properties of the value of one variable: (value analysis)

x = aconstant propagationx > 0 ou x = 0 ou x < 0signs $x \in [a, b]$ intervalles $x = a \pmod{b}$ congruencesvalid($p[a \dots b]$)memory validityp pointsTo x or $p \neq q$ (non-) aliasing between pointers

(a, b, c are constants inferred by the analyzer.)

Example of properties that can be inferred

Properties of several variables: (relational analysis)

 $\begin{array}{ll} \sum a_i x_i \leq c & \text{polyhedra} \\ \pm x_1 \pm \cdots \pm x_n \leq c & \text{octagons} \\ expr_1 = expr_2 & \text{Herbrand equivalences} \\ doubly-linked-list(p) & \text{shape analysis} \end{array}$

Non-functional properties:

- Memory consumption.
- Worst-case execution time (WCET).

Using static analysis for code optimization

Apply algebraic identities when their conditions are met:

x / 4
$$\rightarrow$$
 x >> 2 if analysis says x \geq 0

Optimize array accesses and pointer dereferences:

Automatic parallelization:

 $\textit{loop}_1;\textit{loop}_2 \rightarrow \textit{loop}_1 \parallel \textit{loop}_2 \quad \text{ if }\textit{polyh}(\textit{loop}_1) \cap \textit{polyh}(\textit{loop}_2) = \emptyset$

Using static analysis for verification

Use the results of static analysis to prove the absence of certain run-time errors:

$$y \in [a, b] \land 0 \notin [a, b] \implies x/y$$
 cannot fail
valid $(p[a \dots b]) \land i \in [a, b] \implies p[i]$ cannot fail

Report an alarm otherwise.



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Report an alarm otherwise.



True alarms, false alarms





True alarm (wrong behavior)

False alarm (analysis too imprecise)



More precise analysis (octagons instead of intervals): the false alarm goes away.

Some properties verifiable by static analysis

Absence of run-time errors:

- Arrays and pointers:
 - No out-of-bound accesses.
 - No dereferencing the null pointer.
 - No access after a free.
 - Alignment constraints are respected.
- Integer arithmetic:
 - No division by zero.
 - No (signed) arithmetic overflows.
- Floating-point arithmetic:
 - No arithmetic overflows (result is $\pm \infty$)
 - No undefined operations (result Not a Number)
 - No catastrophic cancellation.

Simple programmer-inserted assertions:

e.g. assert (0 <= x && x < sizeof(tbl)).

An overview of static analysis

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Abstract interpretation in a nutshell

Execute ("interpret") the program with a nonstandard semantics that:

- Computes over an abstract domain of the desired properties (e.g. "x ∈ [a, b]" for interval analysis) instead of computing with concrete values and states (e.g. numbers).
- Handles Boolean conditions even if they cannot be resolved statically:
 - \blacktriangleright The then and else branches of an if are both taken \rightarrow joins.
 - Loops and recursions execute arbitrarily many times \rightarrow fixpoints.
- Always terminates.

```
x \in [-\infty, \infty]
IF x < 0 THEN
x := 0;
ELSE IF x > 1000 THEN
x := 1000;
ELSE
SKIP;
ENDIF
```

```
x \in [-\infty, \infty]
IF x < 0 THEN

x := 0; x \in [0, 0]

ELSE IF x > 1000 THEN

x := 1000;

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ENDIF
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IF x < 0 THEN
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 x := 1000;
ELSE
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ENDIF

 $\begin{aligned} & x \in [-\infty, \infty] \\ & x \in [0, 0] \\ & x \in [1000, 1000] \\ & x \in [0, \infty] \cap [-\infty, 1000] = [0, 1000] \end{aligned}$

IF x < 0 THEN
 x := 0;
ELSE IF x > 1000 THEN
 x := 1000;
ELSE
 SKIP;
ENDIF

 $\mathtt{x} \in [-\infty,\infty]$

 $\mathtt{x} \in [0,0]$

 $\mathtt{x} \in [1000,1000]$

 $x \in [0,\infty] \cap [-\infty,1000] = [0,1000]$

 $x \in [0,0] \cup [1000,1000] \cup [0,1000] = [0,1000]$

 $x := 0; \qquad x \in [0,0]$

WHILE x <= 1000 DO

x := x + 1;

DONE

x := 0; while x <= 1000 D0 x := x + 1; DONE $x \in [0,0] \cap [-\infty, 1000] = [0,0]$ $x \in [1,1]$

x := 0; while x <= 1000 dd $x \in [0,0]$ x := x + 1; dd x \in ([0,0] \cup [1,1]) \cap [-\infty, 1000] = [0,1] $x \in [1,2]$ done

x := 0; while x <= 1000 D0 x := x + 1; DONE $x \in [0,0]$ $x \in ([0,0] \cup [1,2]) \cap [-\infty, 1000] = [0,2]$ $x \in [1,3]$

x := 0; $x \in [0, 0]$ WHILE x <= 1000 D0 x := x + 1; $x \in [0, \infty]$ DONE

Widening heuristic to accelerate convergence

Narrowing iteration to improve the result

Fixpoint reached!

Fixpoint reached!

Fixpoint computations with widening and narrowing



Non-relational vs. relational analysis

Non-relational analysis:

```
abstract environment = variable \mapsto abstract value
```

(Like simple typing environments.)

Relational analysis:

abstract environments are a domain of their own, featuring:

- a semi-lattice structure: \bot , \top , \Box , \sqcup
- an abstract operation for assignment / binding.

Example: polyhedra, i.e. conjunctions of linear inequalities $\sum a_i x_i \leq c$.

Classic presentation: Galois connections

A semi-lattice \mathcal{A}, \sqsubseteq of abstract states and two functions:

- Abstraction function α : set of concrete states \rightarrow abstract state
- Concretization function γ : abstract state \rightarrow set of concrete states



E.g. for intervals $\alpha(S) = [\inf S, \sup S]$ and $\gamma([a, b]) = \{x \mid a \le x \le b\}$.

Axioms of Galois connections



The adjunction property:

$$\forall A, S, \ \alpha(S) \sqsubseteq A \Leftrightarrow S \subseteq \gamma(A)$$

or, equivalently:

- α increasing
- $\wedge \quad \gamma \, \, {\rm increasing} \,$

$$\land \forall S, S \subseteq \gamma(\alpha(S))$$
 (soundness)

 $\land \forall A, \alpha(\gamma(A)) \sqsubseteq a$ (optimality)

Calculating abstract operators

For any concrete operator $F: C \to C$ we define its abstraction $F_{\#}: A \to A$ by

$$F_{\#}(a) = \alpha \{F(x) \mid x \in \gamma(a)\}$$

This abstract operator is:

- Sound: if $x \in \gamma(a)$ then $F(x) \in \gamma(F_{\#}(a))$.
- Optimally precise: every a' such that x ∈ γ(a) ⇒ F(x) ∈ γ(a') is such that F_#(a) ⊑ a'.

Moreover, an algorithmic definition of $F_{\#}$ can be calculated from the definition above.

Calculating $+_{\#}$ for intervals

$$\begin{aligned} [a_1, b_1] +_{\#} [a_2, b_2] \\ &= \alpha \{ x_1 + x_2 \mid x_1 \in \gamma[a_1, b_1], x_2 \in \gamma[a_2, b_2] \} \\ &= [\inf\{x_1 + x_2 \mid a_1 \le x_1 \le b_1, a_2 \le x_2 \le b_2\}, \\ \sup\{x_1 + x_2 \mid a_1 \le x_1 \le b_1, a_2 \le x_2 \le b_2\}] \\ &= [+\infty, -\infty] \text{ if } a_1 > b_1 \text{ or } a_2 > b_2 \\ &= [a_1 + b_1, a_2 + b_2] \text{ otherwise} \end{aligned}$$

Note: the intuitive definition $[a_1, b_1] +_{\#} [a_2, b_2] = [a_1 + b_1, a_2 + b_2]$ is sound but not optimal.

For some domains, the abstraction function α does not exist! (The optimality condition $a \sqsubseteq \alpha(\gamma(a))$ cannot be satisfied.)

Example 1: intervals of rationals.

$$\alpha\{x \mid x^2 \le 2\} = ???$$

There is no best rational approximation of $\left[-\sqrt{2},\sqrt{2}\right]$.

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Example 2: polyhedra

$$\alpha\{(x,y) \mid x^2 + y^2 \le 1\} = ???$$



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Type-theoretic difficulties

In the context of a Coq/Agda verification:

 $\boldsymbol{\gamma}$ is easily modeled as

$$\gamma: A
ightarrow (C
ightarrow extsf{Prop})$$
 (two-place predicate)

but α is generally not computable as soon as C is infinite:

$$\begin{array}{l} \alpha: (\mathcal{C} \to \texttt{Prop}) \to A \quad \text{morally constant functions only?} \\ \alpha: (\mathcal{C} \to \texttt{bool}) \to A \quad \text{can only query a finite number of } \mathcal{C}\text{'s} \end{array}$$

(E.g. $\alpha(S) = [\inf S, \sup S]$, no more computable than inf and sup.)

Plan B: γ -only presentation

Remember the two properties of abstract operators $F_{\#}$ calculated from $F_{\#}(a) = \alpha \{F(x) \mid x \in \gamma(a)\}$:

- **1** Soundness: if $x \in \gamma(a)$ then $F(x) \in \gamma(F_{\#}(a))$.
- Optimality: every a' such that x ∈ γ(a) ⇒ F(x) ∈ γ(a') is such that F_#(a) ⊑ a'.

Instead of calculating $F_{\#}$, we can guess a definition for $F_{\#}$, then verify

- property 1: soundness (mandatory!)
- possibly property 2: optimality (optional sanity check).

These proofs only need the concretization relation $\gamma,$ which is unproblematic.

Soundness first!

Having made optimality entirely optional, we can further simplify the analyzer and its soundness proof, while increasing its algorithmic efficiency:

- Abstract operators that return over-approximations (or just ⊤) in difficult / costly cases.
- Join operators ⊔ that return an upper bound for their arguments but not necessarily the least upper bound.
- "Fixpoint" iterations that return a post-fixpoint but not necessarily the smallest (widening + return ⊤ when running out of fuel).
- Validation a posteriori of algorithmically-complex operations, performed by an untrusted external oracle. (Next slide.)

Validation a posteriori

Some abstract operations can be implemented by unverified code if it is easy to validate the results a posteriori by a validator. Only the validator needs to be proved correct.

Example: the join operator \sqcup over polyhedra.



The inclusion test can itself use validation a posteriori (Farkas certificate).

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The Verasco project

Inria Celtique, Gallium, Abstraction, Toccata + Verimag + Airbus

Goal: develop and verify in Coq a realistic static analyzer by abstract interpretation:

- Language analyzed: the CompCert subset of C.
- Nontrivial abstract domains, including relational domains.
- Modular architecture inspired from Astrée's.
- Decent alarm reporting.

Slogan: if "CompCert = 1/10th of GCC but formally verified", likewise "Verasco = 1/10th of Astrée but formally verified".

Architecture



Upper layer: the abstract interpreter

$$\begin{array}{c} \mathsf{CompCert} \ \mathsf{C} \to \mathsf{Clight} \to \mathsf{C}\#\mathsf{minor} \to \mathsf{Cminor} \to \mathsf{RTL} \to \dots \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

Connected to the intermediate languages of the CompCert compiler.

Parameterized by a relational abstract domain for execution states (environment + memory state + call stack).

 Abstract interpreter for RTL (Blazy, Maronèze, Pichardie) Unstructured control + all functions inlined
 → one global fixpoint (Bourdoncle).

Abstract interpreter for C#minor (Jourdan)
 Local fixpoints for each loop + per-function fixpoint for goto + unrolling of functions at call point.

Lower layer: numerical domains

Non-relational:

- Integer intervals (over Z).
- Floating-point intervals (on top of the Flocq library).
- Integer congruences (over Z).

Relational:

- Symbolic equalities var = expr and facts expr = true or false.
- The VPL library (Fouilhé, Monniaux, Périn, SAS 2013): polyhedra with rational coefficients, implemented in OCaml, producing certificates verifiable in Coq.
- Octagons (direct Coq implementation).

What is a generic interface for a numerical domain?

For a non-relational domain:

- A semilattice (A, \sqsubseteq) of abstract values.
- A concretization relation $\gamma: A \to \wp(\mathbf{Z})$
- "Forward" abstract operators such as $+_{\#}$, satisfying

$$rac{v_1\in\gamma(a_1) \quad v_2\in\gamma(a_2)}{v_1+v_2\in\gamma(a_1+_\#a_2)}$$

• "Backward" abstract operators (to refine abstractions based on the results of conditionals) such as $<_{\#}^{-1}$

What is a generic interface for a numerical domain?

For a relational domain, the main abstract operations are:

- assign var = expr
- forget var = any-value
- assume *expr* is true or *expr* is false

var are program variables or abstract memory locations.

expr are simple expressions (+ $- \times$ div mod ...) over variables and constants.

To report alarms, we also need to query the domain, e.g. "is x < y?" or "is x mod 4 = 0?". A basic query is

• get_itv expr \rightarrow variation interval

(Next slide: Coq interface.)

The abstract operations

```
Class ab_machine_env (t var: Type): Type :=
{ leb: t -> t -> bool
; top: t
; join: t -> t -> t
; widen: t -> t -> t
; forget: var -> t -> t+⊥
; assign: var -> nexpr var -> t -> t+⊥
; assume: nexpr var -> bool -> t -> t+⊥
; get_itv: nexpr var -> t -> num_val_itv+T+⊥
```

... and their specifications

```
; \gamma : t -> \wp (var->num_val)
   ; gamma_monotone: forall x y,
        leb x y = true -> \gamma x \subset \gamma y;
   ; gamma_top: forall x, x \in \gamma top;
   ; join_sound: forall x y,
        \gamma \mathbf{x} \cup \gamma \mathbf{y} \subset \gamma (join \mathbf{x} y)
   ; forget_correct: forall x \rho n ab,
        \rho \in \gamma ab -> (upd \rho x n) \in \gamma (forget x ab)
   ; assign_correct: forall x e \rho n ab,
        \rho \in \gamma ab -> n \in eval_nexpr \rho e ->
        (upd \ \rho \ x \ n) \in \gamma (assign x e ab)
   ; assume_correct: forall e \rho ab b,
        \rho \in \gamma ab -> of_bool b \in eval_nexpr \rho e ->
        \rho \in \gamma (assume e b ab)
   ; get_itv_correct: forall e \rho ab,
        \rho \in \gamma ab -> (eval_nexpr \rho e) \subset \gamma (get_itv e ab)
}.
```

The middle layer: domain transformers

Communications between numerical domains.

From mathematical integers to N-bit machine integers (accounts for overflow and wrap-around).

Memory and pointer domain:

1 abstract memory cell = 1 variable of the numerical domains Plus: points-to information and type information.

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Abstract interpretation of structured control

For a simple imperative language like IMP:

F(s, abstract state "before" s) = abstract state "after" s + alarm

Follows the structure of statement *s*.

No need to talk about program points (unlike in dataflow analysis).

Some cases of the abstract interpreter F

$$F((s_1; s_2), A) = F(s_2, F(s_1, A))$$

$$F((\text{IF } b \text{ THEN } s_1 \text{ ELSE } s_2), A) = F(s_1, A \land b) \sqcup F(s_2, A \land \neg b)$$

$$F((\text{WHILE } b \text{ DO } s \text{ DONE}), A) = \text{pfp} (\lambda X. A \sqcup F(X \land b, s)) \land \neg b$$

Note: taking a post-fixpoint pfp at every loop.

Notation: $A \wedge b$ is A where we assert that b is true.

Control flow in the C#minor language

Unlike in IMP, a C#minor statement can terminate in several different ways, and can also be entered in several ways:



The abstract interpreter becomes:

$$F(s, A_i, A_l) = (A_o, A_r, A_e, A_g) + \texttt{alarm}$$

 $\begin{array}{l} A_i: \text{abstract state (normal entry)} \\ A_I: \text{label} \rightarrow \text{abstract state (incoming goto)} \\ A_o: \text{abstract state (normal termination)} \\ A_r: \text{abstract value} \times \text{abstract state (early return)} \\ A_e: \text{exit level} \rightarrow \text{abstract state} \\ A_g: \text{label} \rightarrow \text{abstract state (outgoing goto)} \end{array}$

Proving the soundness of an abstract interpreter

For IMP, a simple soundness property:

If $F(s, A) \neq \text{alarm}$ and $m \in \gamma(A)$, statement s, started in memory m, does not go wrong; moreover, if it terminates with memory m', then $m' \in \gamma(F(s, A))$.

Can be stated formally and proved directly using big-step operational semantics with error rules:

$m \vdash s \Rightarrow m'$	safe termination on state m'
$m \vdash s \Rightarrow \texttt{err}$	termination by going wrong

The C#minor operational semantics

A big-step semantics for C#minor is painful to define, owing to goto statements. Instead, we use CompCert's small-step semantics with continuations:

$$(s, k, m) \rightarrow (s', k', m') \rightarrow \cdots$$

- where *s* statement under focus
 - k continuation term (what to do after s terminates)
 - *m* current memory state and environment

Representative rules:

$$((s_1; s_2), k, m) \rightarrow (s_1, \text{Kseq } s_2 \ k, m)$$

(block $s, k, m) \rightarrow (s, \text{Kblock } k, m)$
(skip, Kseq $s \ k, m) \rightarrow (s, k, m)$
(exit 0, Kblock $k, m) \rightarrow (\text{skip}, k, m)$
(exit $(n+1), \text{Kblock } k, m) \rightarrow (\text{exit } n, k, m)$

Using a Hoare logic (Yves Bertot, 2005)

Proving the abstract interpreter sound w.r.t. the small-step semantics is feasible but painful. Instead, we break the proof in two steps, using a weak Hoare logic:

• Step 1: "Hoare soundness" of the abstract interpreter: If $F(s, A_i, A_l) = (A_o, A_r, A_e, A_g)$ (and not alarm), then the weak Hoare 7-tuple

$$\{\gamma(A_i), \gamma(A_l\} \ s \ \{\gamma(A_o), \gamma(A_r), \gamma(A_e), \gamma(A_g)\}$$

is derivable.

• Step 2: soundness of the Hoare logic w.r.t. the operational semantics.

Small-step soundness of a Hoare logic

(Andrew Appel and Sandrine Blazy, 2007)

Going back to IMP and standard Hoare triples $\{P\} \ s \ \{Q\}$ for simplicity:

Definition

A configuration (s, k, m) is safe for *n* steps if no sequence of at most *n* transitions starting with (s, k, m) reaches a "going wrong" state.

Definition

A continuation k is safe for n steps w.r.t. postcondition Q if, for all memory states m satisfying Q, the configuration (skip, k, m) is safe for n steps.

Theorem

If the Hoare triple $\{P\}$ s $\{Q\}$ holds, then for all n, all continuations k safe for n steps w.r.t. Q, and all memory states m satisfying P, the configuration (s, k, m) is safe for n steps.

Two ways to define the Hoare logic

Shallow embedding: (Appel and Blazy)

- use the soundness theorem as the definition of {*P*} *s* {*Q*};
- show the usual Hoare logic rules as lemmas.

Deep embedding: (what we use in CompCert)

- define {*P*} *s* {*Q*} as a coinductive predicate, with each rule as a constructor;
- prove the soundness theorem by induction on the number *n* of steps.

(The coinductive definition helps to handle function calls just by unrolling of the function definition.)

Conjunction and disjunction rules

The Verasco abstract interpreter contains some heuristics (unrolling of the last N iterations of a loop) whose soundness proof makes use of unusual Hoare logic rules:

$$\frac{\{P_1\} \ s \ \{Q\} \ \{P_2\} \ s \ \{Q\}}{\{P_1 \lor P_2\} \ s \ \{Q\}} \qquad \qquad \frac{\{P\} \ s \ \{Q_1\} \ \{P\} \ s \ \{Q_2\}}{\{P\} \ s \ \{Q_1 \land Q_2\}}$$

These rules are admissible in the deep embedding approach (with the coinductive predicate), but we could not prove them in the shallow embedding approach.

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Status of Verasco

It works!

- Fully proved (30 000 lines of Coq)
- Executable analyzer obtained by extraction.
- Able to show absence of run-time errors in small but nontrivial C programs.

It needs improving!

- Some loops need manual unrolling (to show that an array is fully initialized at the end of a loop).
- Analysis is slow (up to one minute for 100 LOC).

Future work

- Improve algorithmic efficiency, esp. sharing between representations of abstract states (hash-consing?).
- More precise and more efficient abstractions of memory states. (Cf. Antoine Miné's memory domain, LCTES 2006.)
- More (combinations of) abstract domains, e.g. trace partitioning, array-specific domains.
- Debugging the precision of the analyses.

One step at a time...

... we get closer to the formal verification of the tools that participate in the production and verification of critical embedded software.

