

# Aliasing restrictions of C11 formalized in Coq

Robbert Krebbers

Radboud University Nijmegen

December 11, 2013 @ CPP, Melbourne, Australia

# Aliasing

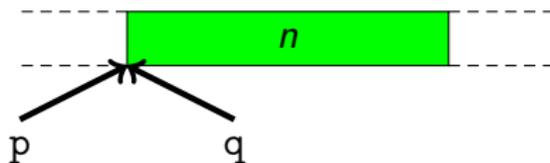
**Aliasing:** multiple pointers referring to the same object

# Aliasing

**Aliasing:** multiple pointers referring to the same object

```
int f(int *p, int *q) {  
    int x = *p; *q = 314; return x;  
}
```

If  $p$  and  $q$  alias, the original value  $n$  of  $*p$  is returned

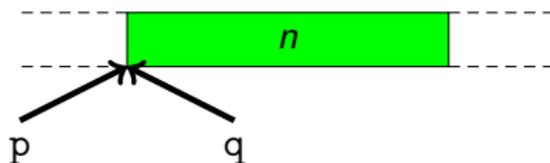


# Aliasing

**Aliasing:** multiple pointers referring to the same object

```
int f(int *p, int *q) {  
    int x = *p; *q = 314; return x *p;  
}
```

If  $p$  and  $q$  alias, the original value  $n$  of  $*p$  is returned



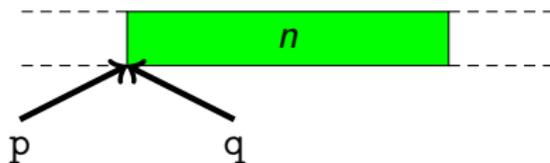
Optimizing  $x$  away is unsound: 314 would be returned

# Aliasing

**Aliasing:** multiple pointers referring to the same object

```
int f(int *p, int *q) {  
    int x = *p; *q = 314; return x;  
}
```

If  $p$  and  $q$  alias, the original value  $n$  of  $*p$  is returned



Optimizing  $x$  away is unsound: 314 would be returned

**Alias analysis:** to determine whether pointers can alias

## Aliasing with different types

Consider a similar function:

```
int h(int *p, float *q) {  
    int x = *p; *q = 3.14; return x;  
}
```

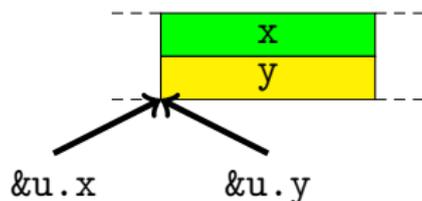
## Aliasing with different types

Consider a similar function:

```
int h(int *p, float *q) {  
    int x = *p; *q = 3.14; return x;  
}
```

It can still be called with aliased pointers:

```
union { int x; float y; } u;  
u.x = 271;  
return h(&u.x, &u.y);
```



C89 allows `p` and `q` to be aliased, and thus requires it to return 271

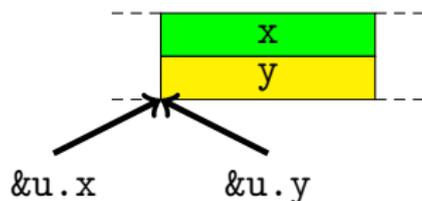
## Aliasing with different types

Consider a similar function:

```
int h(int *p, float *q) {  
    int x = *p; *q = 3.14; return x;  
}
```

It can still be called with aliased pointers:

```
union { int x; float y; } u;  
u.x = 271;  
return h(&u.x, &u.y);
```



C89 allows  $p$  and  $q$  to be aliased, and thus requires it to return 271

C99/C11 allows **type-based alias analysis**:

- ▶ A compiler can **assume** that  $p$  and  $q$  do not alias
- ▶ Reads/writes with “the wrong type” yield **undefined behavior**

# Undefined behavior in C

“Garbage in, garbage out” principle

- ▶ Programs with undefined behavior are not statically excluded
- ▶ Instead, these may do **literally anything** when executed
- ▶ Compilers are allowed to assume no undefined behavior occurs
- ▶ Allows them to omit (expensive) dynamic checks

# Undefined behavior in C

“Garbage in, garbage out” principle

- ▶ Programs with undefined behavior are not statically excluded
- ▶ Instead, these may do **literally anything** when executed
- ▶ Compilers are allowed to assume no undefined behavior occurs
- ▶ Allows them to omit (expensive) dynamic checks

**A formal C semantics should account for undefined behavior**

# Bits and bytes

## Interplay between low- and high-level

- ▶ Each object *should be* represented as a sequence of bits  
... which can be inspected and manipulated *in C*
- ▶ Each object can be accessed using typed expressions  
... that are used by compilers for optimizations

Hence, the **formal memory model** needs to keep track of more information than present in the **memory of an actual machine**

# Bits and bytes

## Interplay between low- and high-level

- ▶ Each object *should be* represented as a sequence of bits  
... which can be inspected and manipulated *in C*
- ▶ Each object can be accessed using typed expressions  
... that are used by compilers for optimizations

Hence, the **formal memory model** needs to keep track of more information than present in the **memory of an actual machine**

The standard is unclear on many of such difficulties  
Opportunities for a formal semantics to resolve this unclarity!

# Contribution

An abstract formal memory for C supporting

- ▶ Types (arrays, structs, unions, ...)
- ▶ Strict aliasing restrictions (effective types)
- ▶ Byte-level operations
- ▶ Type-punning
- ▶ Indeterminate memory
- ▶ Pointers “one past the last element”
- ▶ Parametrized by an interface for integer types
- ▶ Formalized together with essential properties in  Coq

# How to treat pointers

## Others (e.g. CompCert)

Memory: a finite map of cells which consist of **arrays** of bytes

Pointers: pairs  $(x, i)$  where  $x$  identifies the cell, and  $i$  the **offset** into that cell

Too little information to capture strict aliasing restrictions

## Our approach

# How to treat pointers

## Others (e.g. CompCert)

Memory: a finite map of cells which consist of **arrays** of bytes

Pointers: pairs  $(x, i)$  where  $x$  identifies the cell, and  $i$  the **offset** into that cell

Too little information to capture strict aliasing restrictions

## Our approach

A finite map of cells which consist of well-typed **trees** of bits

# How to treat pointers

## Others (e.g. CompCert)

Memory: a finite map of cells which consist of **arrays** of bytes

Pointers: pairs  $(x, i)$  where  $x$  identifies the cell, and  $i$  the **offset** into that cell

Too little information to capture strict aliasing restrictions

## Our approach

A finite map of cells which consist of well-typed **trees** of bits

Pairs  $(x, r)$  where  $x$  identifies the cell, and  $r$  the **path through the tree** in that cell

# How to treat pointers

## Others (e.g. CompCert)

Memory: a finite map of cells which consist of **arrays** of bytes

Pointers: pairs  $(x, i)$  where  $x$  identifies the cell, and  $i$  the **offset** into that cell

Too little information to capture strict aliasing restrictions

## Our approach

A finite map of cells which consist of well-typed **trees** of bits

Pairs  $(x, r)$  where  $x$  identifies the cell, and  $r$  the **path through the tree** in that cell

A semantics for strict aliasing restrictions

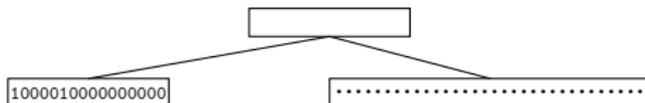
## Three kinds of values

Our formal description has three kinds of values. For

```
struct { short x, *p; } s = { 33; &s.x }
```

we have:

- ▶ *A memory value* with arrays of bits as leaves:



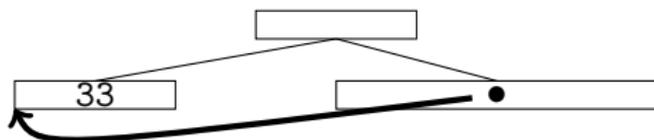
## Three kinds of values

Our formal description has three kinds of values. For

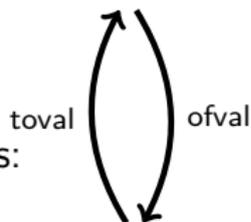
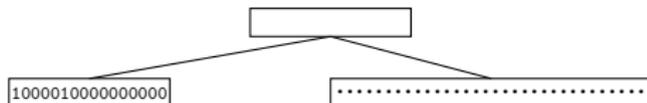
```
struct { short x, *p; } s = { 33; &s.x }
```

we have:

- ▶ *An abstract value* with machine integers and pointers as leaves:



- ▶ *A memory value* with arrays of bits as leaves:



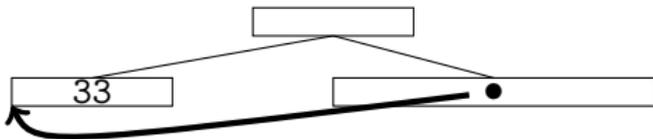
# Three kinds of values

Our formal description has three kinds of values. For

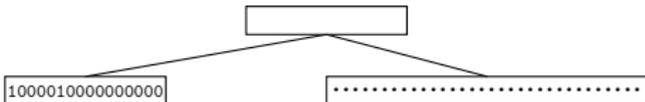
```
struct { short x, *p; } s = { 33; &s.x }
```

we have:

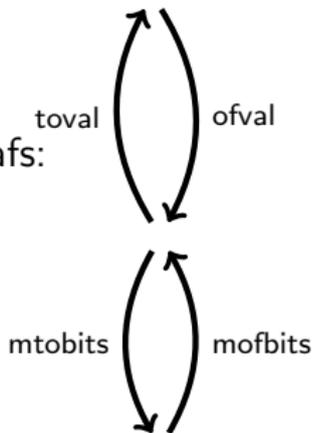
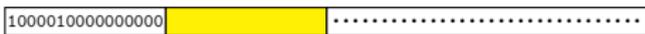
- ▶ An abstract value with machine integers and pointers as leaves:



- ▶ A memory value with arrays of bits as leaves:



- ▶ An array of bits:



## Bits and memory values

- ▶ *Bits* are represented symbolically (à la bytes in CompCert):

$$b ::= 0 \mid 1 \mid (\text{ptr } p)_i \mid \text{indet}$$

- ▶ Gives “*the best of both worlds*”: allows bitwise hacking on integers while keeping the memory abstract

## Bits and memory values

- ▶ *Bits* are represented symbolically (à la bytes in CompCert):

$$b ::= 0 \mid 1 \mid (\text{ptr } p)_i \mid \text{indet}$$

- ▶ Gives “*the best of both worlds*”: allows bitwise hacking on integers while keeping the memory abstract
- ▶ *Memory values* are defined as:

$$w ::= \text{base}_{\tau_b} \vec{b} \mid \text{array } \vec{w} \\ \mid \text{struct}_s \vec{w} \mid \text{union}_s (i, w) \mid \overline{\text{union}_s} \vec{b}$$

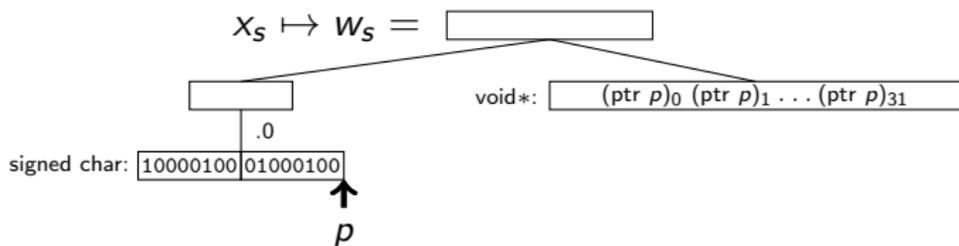
- ▶ Memory values have (unique) types

## Example

Consider:

```
struct T {  
    union U { signed char x[2]; int y; } u;  
    void *p;  
} s = { { .x = {33,34} }, s.u.x + 2 }
```

As a picture:

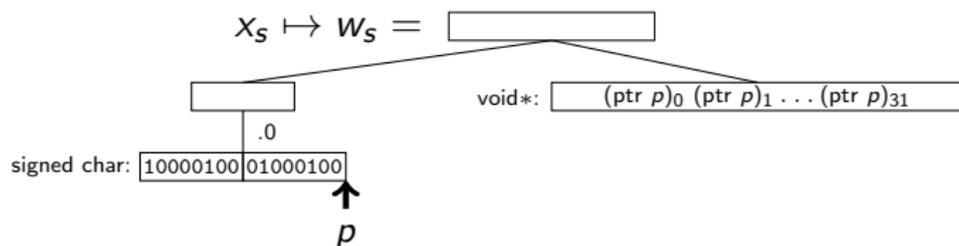


## Example

Consider:

```
struct T {  
    union U { signed char x[2]; int y; } u;  
    void *p;  
} s = { { .x = {33,34} }, s.u.x + 2 }
```

As a picture:



Here we have:

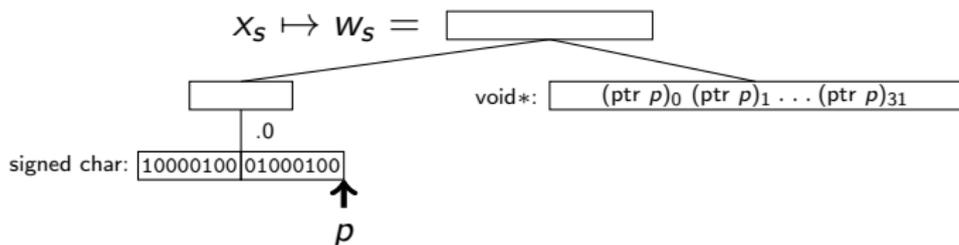
$$\blacktriangleright p = (x_s, \overset{T}{\rightsquigarrow} 0 \overset{U}{\rightsquigarrow} 0, 2)_{\text{signed char} > \text{void}}$$

## Example

Consider:

```
struct T {  
    union U { signed char x[2]; int y; } u;  
    void *p;  
} s = { { .x = {33,34} }, s.u.x + 2 }
```

As a picture:



Here we have:

- ▶  $p = (x_s, \overset{T}{\rightsquigarrow} 0 \overset{U}{\rightsquigarrow} 0, 2)_{\text{signed char} > \text{void}}$
- ▶ mtobits  $w_s =$



## Memory values to bits?

Let us reconsider memory values:

$$w ::= \text{base}_{\tau_b} \vec{b} \mid \text{array } \vec{w} \\ \mid \text{struct}_s \vec{w} \mid \text{union}_s (i, w) \mid \overline{\text{union}_s} \vec{b}$$

How to do the conversion of bits?

## Memory values to bits?

Let us reconsider memory values:

$$w ::= \text{base}_{\tau_b} \vec{b} \mid \text{array } \vec{w} \\ \mid \text{struct}_s \vec{w} \mid \text{union}_s (i, w) \mid \overline{\text{union}_s} \vec{b}$$

How to do the conversion of bits?

- ▶ Seems impossible
- ▶ Given bits of

```
union U { int x; int y; }
```

should we choose the variant x or y?

## Memory values to bits?

Let us reconsider memory values:

$$w ::= \text{base}_{\tau_b} \vec{b} \mid \text{array } \vec{w} \\ \mid \text{struct}_s \vec{w} \mid \text{union}_s (i, w) \mid \overline{\text{union}_s} \vec{b}$$

How to do the conversion of bits?

- ▶ Seems impossible
- ▶ Given bits of

```
union U { int x; int y; }
```

should we choose the variant x or y?

**Solution:** postpone this choice by storing it as a  $\overline{\text{union}_U} \vec{b}$   
... and change it into a  $\text{union}_U (i, w)$  node on a lookup

## Memory values to bits?

Let us reconsider memory values:

$$w ::= \text{base}_{\tau_b} \vec{b} \mid \text{array } \vec{w} \\ \mid \text{struct}_s \vec{w} \mid \text{union}_s (i, w) \mid \overline{\text{union}_s} \vec{b}$$

How to do the conversion of bits?

- ▶ Seems impossible
- ▶ Given bits of

```
union U { int x; int y; }
```

should we choose the variant x or y?

**Solution:** postpone this choice by storing it as a  $\overline{\text{union}_U} \vec{b}$   
... and change it into a  $\text{union}_U (i, w)$  node on a lookup

**Hard part:** dealing with this choice in *abstract values* and the various operations

## Type-punning

**Type-punning:** reading a union using a pointer to another variant

C11: vaguely mentioned in a footnote

# Type-punning

**Type-punning:** reading a union using a pointer to another variant

C11: vaguely mentioned in a footnote

GCC: allowed if “the memory is accessed through the union type”

Given:

```
union U { int x; float y; } t;
```

Defined behavior:

```
t.y = 3.0; return t.x; // OK
```

## Type-punning

**Type-punning:** reading a union using a pointer to another variant

**C11:** vaguely mentioned in a footnote

**GCC:** allowed if “the memory is accessed through the union type”

Given:

```
union U { int x; float y; } t;
```

Defined behavior:

```
t.y = 3.0; return t.x; // OK
```

Undefined behavior:

```
int *p = &t.x; t.y = 3.0; return *p; // UB
```

# Type-punning

**Type-punning:** reading a union using a pointer to another variant

**C11:** vaguely mentioned in a footnote

**GCC:** allowed if “the memory is accessed through the union type”

Given:

```
union U { int x; float y; } t;
```

Defined behavior:

```
t.y = 3.0; return t.x; // OK
```

Undefined behavior:

```
int *p = &t.x; t.y = 3.0; return *p; // UB
```

Formalized by decorating pointers with annotations

# Strict-aliasing Theorem

## Theorem (Strict-aliasing)

*Given:*

- ▶ *addresses  $m \vdash a_1 : \sigma_1$  and  $m \vdash a_2 : \sigma_2$*
- ▶ *with annotations that do not allow type-punning*
- ▶  *$\sigma_1, \sigma_2 \neq \text{unsigned char}$*
- ▶  *$\sigma_1$  not a subtype of  $\sigma_2$  and vice versa*

*Then there are two possibilities:*

- ▶  *$a_1$  and  $a_2$  do not alias*
- ▶ *accessing  $a_1$  after  $a_2$  (and vice versa) has undefined behavior*

# Strict-aliasing Theorem

## Theorem (Strict-aliasing)

*Given:*

- ▶ *addresses  $m \vdash a_1 : \sigma_1$  and  $m \vdash a_2 : \sigma_2$*
- ▶ *with annotations that do not allow type-punning*
- ▶  *$\sigma_1, \sigma_2 \neq \text{unsigned char}$*
- ▶  *$\sigma_1$  not a subtype of  $\sigma_2$  and vice versa*

*Then there are two possibilities:*

- ▶  *$a_1$  and  $a_2$  do not alias*
- ▶ *accessing  $a_1$  after  $a_2$  (and vice versa) has undefined behavior*

**Corollary** Compilers can perform type based alias analysis

## Memory extensions

To prove program transformations correct one has to relate:

- ▶ the memory of the original program to
- ▶ the memory of the the transformed program

## Memory extensions

To prove program transformations correct one has to relate:

- ▶ the memory of the original program to
- ▶ the memory of the the transformed program

We have  $m_1 \sqsubseteq m_2$  if  $m_2$  **allows more behaviors** than  $m_1$ :

- ▶ More memory content determinate

$$\text{indet} \sqsubseteq b$$

## Memory extensions

To prove program transformations correct one has to relate:

- ▶ the memory of the original program to
- ▶ the memory of the the transformed program

We have  $m_1 \sqsubseteq m_2$  if  $m_2$  **allows more behaviors** than  $m_1$ :

- ▶ More memory content determinate

$$\text{indet} \sqsubseteq b$$

- ▶ Fewer restrictions on effective types

$$\text{union}_u(i, w) \sqsubseteq \overline{\text{union}_u \vec{b}}$$

## Memory extensions

To prove program transformations correct one has to relate:

- ▶ the memory of the original program to
- ▶ the memory of the the transformed program

We have  $m_1 \sqsubseteq m_2$  if  $m_2$  **allows more behaviors** than  $m_1$ :

- ▶ More memory content determinate

$$\text{indet} \sqsubseteq b$$

- ▶ Fewer restrictions on effective types

$$\text{union}_u(i, w) \sqsubseteq \overline{\text{union}_u \vec{b}}$$

**Theorem** "copy by assignment"  $\sqsubseteq$  "byte-wise copy"

## Formalization in Coq

Type theory is ideal for the combination programming/proving

- ▶ *The devil is in the details*, Coq is extremely useful for debugging of definitions
- ▶ Useful to prove meta-theoretical properties
- ▶ Use of type classes for parametrization by machine integers
- ▶ Use of type classes for overloading of notations
- ▶ 8.500 lines of code

## Future research

- ▶ Integration into our operational semantics [K, POPL'14]  
... and make it (reasonably efficiently) executable
- ▶ Memory injections à la CompCert
- ▶ Integration into our axiomatic semantics [K, POPL'14]
- ▶ Floating point numbers, bit fields, variable length arrays
- ▶ The `const`, `volatile` and `restrict` qualifier
- ▶ Verification Condition generator in Coq

## Questions

Sources: see <http://robbertkrebbers.nl/research/ch2o/>